E-Filed: October 8, 2013 1 David S. Markun (SBN 108067) Daria Dub Carlson (SBN 150628) MARKUN ZUSMAN FRENIERE & COMPTON LLP 2 17383 Sunset Boulevard, Suite A380 Pacific Palisades, California 90272 3 Telephone: (310) 454-5900 Facsimile: (310) 454-5970 4 Email: dmarkun@mzclaw.com Email: dcarlson@mzclaw.com 5 Edward S. Zusman (SBN 154366) 6 Oriet Cohen-Supple (SBN 206781) MARKUN ZUSMAN FRENIERE & COMPTON LLP 7 465 California Street, 5th Floor San Francisco, California 94104 Telephone: (415) 438-4515 Facsimile: (415) 434-4505 9 Email: ezusman@mzclaw.com Email: ocohen@mzclaw.com 10 Jon R. Giffen (SBN 142158) 11 KENNEDY ARCHER & HARRAY 24591 Silver Cloud Ct. #200 12 Monterey, California 93940 Telephone: (831) 373-7500 13 Facsimile: (831) 373-7555 Email: jgiffen@kahlaw.net 14 Attorneys for Plaintiffs LPL Financial, LLC and 15 William Hastie 16 UNITED STATES DISTRICT COURT 17 NORTHERN DISTRICT OF CALIFORNIA, SAN JOSE 18 19 Case No. C-13 1207 HRL LPL FINANCIAL, LLC, a California limited liability company; and 20 WILLIAM HASTIE, an individual, STIPULATION FOR FILING OF SECOND 21 Plaintiffs, AMENDED COMPLAINT AND PROPOSED ORDER V. 22 MIKE T. BRILEY, et al., 23 Defendants. 24 25 26 IT IS HEREBY STIPULATED by and between the parties hereto through their respective 27 attorneys of record that Plaintiffs LPL FINANCIAL, LLC and WILLIAM HASTIE ("Plaintiffs") 28

STIPULATION FOR FILING OF SECOND AMENDED COMPLAINT

1	may file a Second Amended Complaint reflecting Plaintiffs' dismissal of the cause of action for
2	violation of Federal computer fraud law (18 U.S.C. §1030(a), and that all other allegations and
3	causes of action remain unchanged. A copy of the Second Amended Complaint is attached hereto
4	as Exhibit A to this Stipulation.
5	IT IS FURTHER STIPULATED that the defendants waive notice and service of the
6	Second Amended Complaint, and shall not be required to answer the amendment, and that all
7	denials, responses and affirmative defenses contained in the Answer filed by the defendants to the
8	First Amended Complaint shall be responsive to the Second Amended Complaint.
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11	Dated: 9/20/2013
12	DAVID S. MARKUN MARKUN ZUSMAN FRENIERE & COMPTON LLP
13	Counsel for Plaintiffs
14	
15	David 0/20/2012 () () () ()
16	Dated: 9/20/2013 FARLEY J. NEUMAN
17	GOODMAN NEUMAN HAMILTON LLP
18	Counsel for Defendants
19	
20	
21	ORDER
22	PURSUANT TO THIS STIPULATION AND GOOD CAUSE APPEARING, IT IS
23	ORDERED THAT Plaintiffs shall file the Second Amended Complaint within fifteen y (7) days
24	of this order.
25	October
26	Dated: September 8, 2013
27	UNITED DISTRICT COURT MAGISTRATE JUDGE
28	JUDGE
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